

The New Statistics: Why and How

Geoff Cumming

La Trobe University

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Abstract

We need to make substantial changes to how we conduct research. First, in response to heightened concern that our published research literature is incomplete and untrustworthy, we need new requirements to ensure research integrity. These include prespecification of studies whenever possible, avoidance of selection and other inappropriate data-analytic practices, complete reporting, and encouragement of replication. Second, in response to renewed recognition of the severe flaws of null-hypothesis significance testing (NHST), we need to shift from reliance on NHST to estimation and other preferred techniques. *The new statistics* refers to recommended practices, including estimation based on effect sizes, confidence intervals, and meta-analysis. The techniques are not new, but adopting them widely would be new for many researchers, as well as highly beneficial. This article explains why the new statistics are important and offers guidance for their use. It describes an eight-step new-statistics strategy for research with integrity, which starts with formulation of research questions in estimation terms, has no place for NHST, and is aimed at building a cumulative quantitative discipline.

Keywords

research integrity, the new statistics, estimation, meta-analysis, replication, statistical analysis, research methods

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There is increasing concern that most current published research findings are false. (Ioannidis, 2005, abstract)

It is time for researchers to avail themselves of the full arsenal of quantitative and qualitative statistical tools. . . . The current practice of focusing exclusively on a dichotomous reject-nonreject decision strategy of null hypothesis testing can actually impede scientific progress. . . . The focus of research should be on . . . what data tell us about the magnitude of effects, the practical significance of effects, and the steady accumulation of knowledge. (Kirk, 2003, p. 100)

We need to make substantial changes to how we usually carry out research. My aim here is to explain why the changes are necessary and to suggest how, practically, we should proceed. I use *the new statistics* as a broad label for what is required: The strategies and techniques are not themselves new, but for many researchers, adopting them would be new, as well as a great step forward.

Ioannidis (2005) and other scholars have explained that our published research is biased and in many cases not to be trusted. In response, we need to declare in advance our detailed research plans whenever possible, avoid bias in our data analysis, make our full results publicly available whatever the outcome, and appreciate the importance of replication. I discuss these issues in the Research Integrity section. Then, in sections on estimation, I discuss a further response to Ioannidis, which is to accept Kirk's advice that we should switch from null-hypothesis significance testing (NHST) to using effect sizes (ESs), estimation, and cumulation of evidence. Along the way, I propose 25 guidelines for improving the way we conduct research (see Table 1).

These are not mere tweaks to business as usual, but substantial changes that will require effort, as well as changes in attitudes and established practices. We need revised textbooks, software, and other resources, but

Corresponding Author:

Geoff Cumming, Statistical Cognition Laboratory, School of Psychological Science, La Trobe University, Victoria 3086, Australia
 E-mail: g.cumming@latrobe.edu.au

Table 1. Twenty-Five Guidelines for Improving Psychological Research

1. Promote research integrity: (a) a public research literature that is complete and trustworthy and (b) ethical practice, including full and accurate reporting of research.
2. Understand, discuss, and help other researchers appreciate the challenges of (a) complete reporting, (b) avoiding selection and bias in data analysis, and (c) replicating studies.
3. Make sure that any study worth doing properly is reported, with full details.
4. Make clear the status of any result—whether it deserves the confidence that arises from a fully prespecified study or is to some extent speculative.
5. Carry out replication studies that can improve precision and test robustness, and studies that provide converging perspectives and investigate alternative explanations.
6. Build a cumulative quantitative discipline.
7. Whenever possible, adopt estimation thinking and avoid dichotomous thinking.
8. Remember that obtained results are one possibility from an infinite sequence.
9. Do not trust any p value.
10. Whenever possible, avoid using statistical significance or p values; simply omit any mention of null-hypothesis significance testing (NHST).
11. Move beyond NHST and use the most appropriate methods, whether estimation or other approaches.
12. Use knowledgeable judgment in context to interpret observed effect sizes (ESs).
13. Interpret your single confidence interval (CI), but bear in mind the dance. Your 95% CI just might be one of the 5% that miss. As Figure 1 illustrates, it might be red!
14. Prefer 95% CIs to SE bars. Routinely report 95% CIs, and use error bars to depict them in figures.
15. If your ES of interest is a difference, use the CI on that difference for interpretation. Only in the case of independence can the separate CIs inform interpretation.
16. Consider interpreting ESs and CIs for preselected comparisons as an effective way to analyze results from randomized control trials and other multiway designs.
17. When appropriate, use the CIs on correlations and proportions, and their differences, for interpretation.
18. Use small- or large-scale meta-analysis whenever that helps build a cumulative discipline.
19. Use a random-effects model for meta-analysis and, when possible, investigate potential moderators.
20. Publish results so as to facilitate their inclusion in future meta-analyses.
21. Make every effort to increase the informativeness of planned research.
22. If using NHST, consider and perhaps calculate power to guide planning.
23. Beware of any power statement that does not state an ES; do not use post hoc power.
24. Use a precision-for-planning analysis whenever that may be helpful.
25. Adopt an estimation perspective when considering issues of research integrity.

sufficient guidance is available for us to make the changes now, even as we develop further new-statistics practices. The changes will prove highly worthwhile: Our publicly available literature will become more trustworthy, our discipline more quantitative, and our research progress more rapid.

Research Integrity

Researchers in psychological science and other disciplines are currently discussing a set of severe problems with how we conduct, analyze, and report our research. Three problems are central:

- Published research is a biased selection of all research;
- data analysis and reporting are often selective and biased; and

- in many research fields, studies are rarely replicated, so false conclusions persist.

Ioannidis (2005) invoked all three problems as he famously explained “why most published research findings are false.” He identified as an underlying cause our reliance on NHST, and in particular, the imperative to achieve statistical significance, which is the key to publication, career advancement, research funding, and—especially for drug companies—profits. This imperative explains selective publication, motivates data selection and tweaking until the p value is sufficiently small, and deludes us into thinking that any finding that meets the criterion of statistical significance is true and does not require replication.

Simmons, Nelson, and Simonsohn (2011) made a key contribution in arguing that “undisclosed flexibility in data collection and analysis allows presenting anything

as significant.” Researchers can very easily test a few extra participants, drop or add dependent variables, select which comparisons to analyze, drop some results as aberrant, try a few different analysis strategies, and then finally select which of all these things to report. There are sufficient degrees of freedom for statistically significant results to be proclaimed, whatever the original data. Simmons et al. emphasized the second of the three central problems I noted, but also discussed the first and third.

Important sets of articles discussing research-integrity issues appeared in *Perspectives on Psychological Science* in 2012 and 2013 (volume 7, issue 6; volume 8, issue 4). The former issue included introductions by Pashler and Wagenmakers (2012) and by Spellman (2012). Debate continues, as does work on tools and policies to address the problems. Here, I discuss how we should respond.

Two meanings of research integrity

First, consider the broad label *research integrity*. I use this term with two meanings. The first refers to the integrity of the publicly available research literature, in the sense of being complete, coherent, and trustworthy. To ensure integrity of the literature, we must report all research conducted to a reasonable standard, and reporting must be full and accurate. The second meaning refers to the values and behavior of researchers, who must conduct, analyze, and report their research with integrity. We must be honest and ethical, in particular by reporting in full and accurate detail. (See Guideline 1 in Table 1.)

Addressing the three problems

In considering how to address our three central problems, and thus work toward research integrity, we need to recognize that psychological science uses a wonderfully broad range of approaches to research. We conduct experiments, and also use surveys, interviews, and other qualitative techniques; we study people’s reactions to one-off historical events, mine existing databases, analyze video recordings, run longitudinal studies for decades, use computer simulations to explore possibilities, and analyze data from brain scans and DNA sequencing. We collaborate with disciplines that have their own measures, methods, and statistical tools—to the extent that we help develop new disciplines, with names like neuroeconomics and psychoinformatics. We therefore cannot expect that any simple set of new requirements will suffice; in addition, we need to understand the problems sufficiently well to devise the best responses for any particular research situation, and to guide development of new policies, textbooks, software, and other resources. Guideline 2 (Table 1) summarizes the three problems, to which I now turn.

Complete publication

Meta-analysis is a set of techniques for integrating the results from a number of studies on the same or similar issues. If a meta-analysis cannot include all relevant studies, its result is likely to be biased—the file-drawer effect. Therefore, any research conducted to at least a reasonable standard must be fully reported. Such reporting may be in a journal, an online research repository, or some other enduring, publicly accessible form. Future meta-analysts must be able to find the research easily; only then can meta-analysis yield results free of bias.

Achieving such complete reporting—and thus a research literature with integrity—is challenging, given pressure on journal space, editors’ desire to publish what is new and striking, the career imperative to achieve visibility in the most selective journals, and a concern for basic quality control. Solutions will include fuller use of online supplementary material for journal articles, new online journals, and open-access databases. We can expect top journals to continue to seek importance, novelty, and high quality in the research they choose to publish, but we need to develop a range of other outlets so that complete and detailed reporting is possible for any research of at least reasonable quality, especially if it was fully prespecified (see the next section). Note that whether research meets the standard of “at least reasonable quality” must be assessed independently of the results, to avoid bias in which results are reported. Full reporting means that *all* results must be reported, whether ESs are small or large, seemingly important or not, and that sufficient information must be provided so that inclusion in future meta-analyses will be easy and other researchers will be able to replicate the study. The Journal Article Reporting Standards listed in the American Psychological Association’s (APA’s) *Publication Manual* (APA, 2010, pp. 247–250; see also Cooper, 2011) will help.

One key requirement is that a decision to report research—in the sense of making it publicly available, somehow—must be independent of the results. (See Guideline 3 in Table 1.) The best way to ensure this is to make a commitment to report research in advance of conducting it (Wagenmakers, Wetzels, Borsboom, van der Maas, & Kievit, 2012). Ethics review boards should require a commitment to report research fully within a stated number of months—or strong reasons why reporting is not warranted—as a condition for granting approval for proposed research.

Data selection

Psychologists have long recognized the distinction between planned and post hoc analyses, and the dangers of cherry-picking, or capitalizing on chance. Simmons et

al. (2011) explained how insidious and multifaceted the selection problem is. We need a much more comprehensive response than mere statistical adjustment for multiple post hoc tests. The best way to avoid all of the biases Simmons et al. identified is to specify and commit to full details of a study in advance. Research falls on a spectrum, from such fully prespecified studies, which provide the most convincing results and must be reported, to free exploration of data, results of which might be intriguing but must—if reported at all—be identified as speculation, possibly cherry-picked.

Confirmatory and *exploratory* are terms that are widely used to refer to research at the ends of this spectrum. *Confirmatory*, however, might imply that a dichotomous yes/no answer is expected and suggest, wrongly, that a fully planned study cannot simply ask a question (e.g., How effective is the new procedure?). I therefore prefer the terms *prespecified* and *exploratory*. An alternative is *question answering* and *question formulating*.

Prespecified research. Full details of a study need to be specified in advance of seeing any results. The procedure, selection of participants, sample sizes, measures, and statistical analyses all must be described in detail and, preferably, registered independently of the researchers (e.g., at Open Science Framework, openscienceframework.org). Such preregistration might or might not be public. Any departures from the prespecified plan must be documented and explained, and may compromise the confidence we can have in the results. After the research has been conducted, a full account must be reported, and this should include all the information needed for inclusion of the results in future meta-analyses.

Sample sizes, in particular, need to be declared in advance—unless the researcher will use a sequential or Bayesian statistical procedure that takes account of variable N . I explain later that a precision-for-planning analysis (or a power analysis if one is using NHST) may usefully guide the choice of N , but such an analysis is not mandatory: Long confidence intervals (CIs) will soon let us know if our experiment is weak and can give only imprecise estimates. The crucial point is that N must be specified independently of any results of the study.

Exploratory research. Tukey (1977) advocated exploration of data and provided numerous techniques and examples. Serendipity must be given a chance: If we do not explore, we might miss valuable insights that could suggest new research directions. We should routinely follow planned analyses with exploration. Occasionally the results might be sufficiently interesting to warrant mention in a report, but then they must be clearly identified as speculative, quite possibly the result of cherry-picking.

Exploration has a second meaning: Running pilot tests to explore ideas, refine procedures and tasks, and guide where precious research effort is best directed is often one of the most rewarding stages of research. No matter how intriguing, however, the results of such pilot work rarely deserve even a brief mention in a report. The aim of such work is to discover how to prespecify in detail a study that is likely to find answers to our research questions, and that must be reported. Any researcher needs to choose the moment to switch from not-for-reporting pilot testing to prespecified, must-be-reported research.

Between prespecified and exploratory. Considering the diversity of our research, full prespecification may sometimes not be feasible, in which case we need to do the best we can, keeping in mind the argument of Simmons et al. (2011). Any selection—in particular, any selection after seeing the data—is worrisome. Reporting of all we did, including all data-analytic steps and exploration, must be complete. Acting with research integrity requires that we be fully informative about prespecification, selection, and the status of any result—whether it deserves the confidence that arises from a fully prespecified study or is to some extent speculative. (See Guideline 4 in Table 1.)

Replication

A single study is rarely, if ever, definitive; additional related evidence is required. Such evidence may come from a close replication, which, with meta-analysis, should give more precise estimates than the original study. A more general replication may increase precision and also provide evidence of generality or robustness of the original finding. We need increased recognition of the value of both close and more general replications, and greater opportunities to report them.

A study that keeps some features of the original and varies others can give a converging perspective, ideally both increasing confidence in the original finding and starting to explore variables that influence it. Converging lines of evidence that are at least largely independent typically provide much stronger support for a finding than any single line of evidence. Some disciplines, including archaeology, astronomy, and paleontology, are theory based and also successfully cumulative, despite often having little scope for close replication. Researchers in these fields find ingenious ways to explore converging perspectives, triangulate into tests of theoretical predictions, and evaluate alternative explanations (Fiedler, Kutner, & Krueger, 2012); we can do this too. (See Guideline 5 in Table 1.)

Research integrity: conclusion

For the research literature to be trustworthy, we need to have confidence that it is complete and that all studies of at least reasonable quality have been reported in full detail, with any departures from prespecified procedures, sample sizes, or analysis methods being documented in full. We therefore need to be confident that all researchers have conducted and reported their work honestly and completely. These are demanding but essential requirements, and achieving them will require new resources, rules, and procedures, as well as persistent, diligent efforts.

Further discussion is needed of research integrity, as well as of the most effective practical strategies for achieving the two types of research integrity I have identified. The discussion needs to be enriched by more explicit consideration of ethics in relation to research practices and statistical analysis (Panter & Sterba, 2011) and, more broadly, by consideration of the values that inform the choices researchers need to make at every stage of planning, conducting, analyzing, interpreting, and reporting research (Douglas, 2007).

As I mentioned, Ioannidis (2005) identified reliance on NHST as a major cause of many of the problems with research integrity, so shifting from NHST would be a big help. There are additional strong reasons to make this change: For more than half a century, distinguished scholars have published damning critiques of NHST and have described the damage it does. They have advocated a shift from NHST to better techniques, with many nominating estimation—meaning ESs, CIs, and meta-analysis—as their approach of choice. Most of the remainder of this article is concerned with explaining why such a shift is so important and how we can achieve it in practice. Our reward will be not only improved research integrity, but also a more quantitative, successful discipline.

Estimation: Why

Suppose you read in the news that “support for Proposition X is 53%, in a poll with an error margin of 2%.” Most readers immediately understand that the 53% came from a sample and, assuming that the poll was competent, conclude that 53% is a fair estimate of support in the population. The 2% suggests the largest likely error. Reporting a result in such a way, or as $53 \pm 2\%$, or as 53% with a 95% CI of [51, 55], is natural and informative. It is more informative than stating that support is “statistically significantly greater than 50%, $p < .01$.” The 53% is our *point estimate*, and the CI our *interval estimate*, whose length indicates *precision* of estimation. Such a focus on estimation is the natural choice in many branches of science and accords well with a core aim of

psychological science, which is to build a cumulative quantitative discipline. (See Guideline 6 in Table 1.)

Rodgers (2010) argued that psychological science is, increasingly, developing quantitative models. That is excellent news, and supports this core aim. I am advocating estimation as usually the most informative approach and also urging avoidance of NHST whenever possible. I summarize a few reasons why we should make the change and then discuss how to use estimation and meta-analysis in practice.

In a book on the new statistics (Cumming, 2012), I discussed most of the issues mentioned in the remainder of this article. I do not refer to that book in every section below, but it extends the discussion here and includes many relevant examples. It is accompanied by Exploratory Software for Confidence Intervals, or ESCI (“ESS-key”), which runs under Microsoft Excel and can be freely downloaded from the Internet, at www.thenewstatistics.com (Cumming, 2013). ESCI includes simulations illustrating many new-statistics ideas, as well as tools for calculating and picturing CIs and meta-analysis.

NHST is fatally flawed

Kline (2004, chap. 3; also available at tiny.cc/klinechap3) provided an excellent summary of the deep flaws in NHST and how we use it. He identified mistaken beliefs, damaging practices, and ways in which NHST retards research progress. Anderson (1997) has made a set of pithy statements about the problems of NHST available on the Internet. Very few defenses of NHST have been attempted; it simply persists, and is deeply embedded in our thinking. Kirk (2003), quoted at the outset of this article, identified one central problem: NHST prompts us to see the world as black or white, and to formulate our research aims and make our conclusions in dichotomous terms—an effect is statistically significant or it is not; it exists or it does not. Moving from such dichotomous thinking to estimation thinking is a major challenge, but an essential step. (See Guideline 7 in Table 1.)

Why is NHST so deeply entrenched? I suspect the seductive appeal—the apparent but illusory certainty—of declaring an effect “statistically significant” is a large part of the problem. Dawkins (2004) identified “the tyranny of the discontinuous mind” (p. 252) as an inherent human tendency to seek the reassurance of an either-or classification, and van Deemter (2010) labeled as “false clarity” (p. 6) our preference for black or white over nuance. In contrast to a seemingly definitive dichotomous decision, a CI is often discouragingly long, although its quantification of uncertainty is accurate, and a message we need to come to terms with.

Despite warnings in statistics textbooks, the word *significant* is part of the seductive appeal: A “statistically

significant” effect in the results section becomes “significant” in the discussion or abstract, and “significant” shouts “important.” Kline (2004) recommended that if we use NHST, we should refer to “a statistical difference,” omitting “significant.” That is a good policy; the safest plan is never to use the word *significant*. The best policy is, whenever possible, not to use NHST at all.

Replication, p values, and CIs

I describe here a major problem of NHST that is too little recognized. If p reveals truth, and we replicate the experiment—doing everything the same except with a new random sample—then replication p , the p value in the

second experiment, should presumably reveal the same truth. We can simulate such idealized replication to investigate the variability of p . Figure 1 depicts the simulated results of 25 replications of an experiment with two independent groups, each group having an n of 32. The population ES is 10 units of the dependent variable, or a Cohen’s δ of 0.50, which is conventionally considered a medium effect. Statistical power to find a medium-sized effect is .50, so the experiment is typical of what is published in many fields in psychology (Cohen, 1962; Maxwell, 2004).

The 95% CIs bounce around as we expect; they form the *dance of the CIs*. Possibly surprising is the enormous variation in the p value—from less than .001 to .75. It

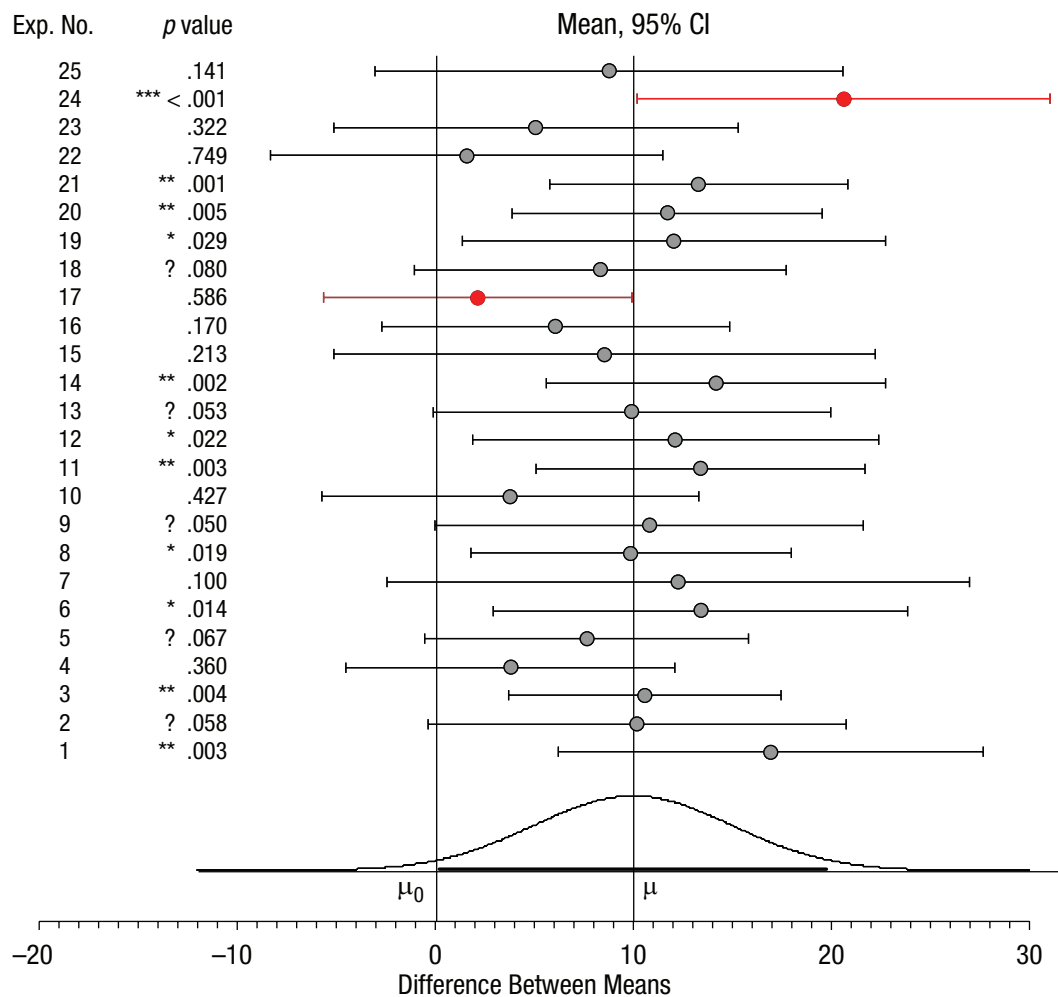


Fig. 1. Simulated results of 25 replications of an experiment (numbered at the left). Each experiment comprises two independent samples with an n of 32; the samples are from normally distributed populations with $\sigma = 20$ and means that differ by $\mu = 10$. For each experiment, the difference between the sample means (circle) and the 95% confidence interval (CI) for this difference are displayed. The p values in the list at the left are two-tailed, for a null hypothesis of zero difference, $\mu_0 = 0$, with σ assumed to be not known ($^* .01 < p < .05$, $^{**} .001 < p < .01$, $^{***} p < .001$; a question mark indicates $.05 < p < .10$). The population effect size is 10, or Cohen’s $\delta = 0.5$, which is conventionally considered a medium-sized effect. Mean differences whose CI does not capture μ are shown in red. The curve is the sampling distribution of the difference between the sample means; the heavy line spans 95% of the area under the curve.

seems that p can take almost any value! This dance of the p values is astonishingly wide! You can see more about the dance at tiny.cc/dancepvals and download ESCI (Cumming, 2013) to run the simulation. Vary the population ES and n , and you will find that even when power is high—in fact, in virtually every situation— p varies dramatically (Cumming, 2008).

CIs and p values are based on the same statistical theory, and with practice, it is easy to translate from a CI to the p value by noting where the interval falls in relation to the null value, $\mu_0 = 0$. It is also possible to translate in the other direction and use knowledge of the sample ES (the difference between the group means) and the p value to picture the CI; this may be the best way to interpret a p value. These translations do not mean that p and the CI are equally useful: The CI is much more informative because it indicates the extent of uncertainty, in addition to providing the best point estimate of what we want to know.

Running a single experiment amounts to choosing randomly from an infinite sequence of replications like those in Figure 1. A single CI is informative about the infinite sequence, because its length indicates approximately the extent of bouncing around in the dance. In stark contrast, a single p value gives virtually no information about the infinite sequence of p values. (See Guideline 8 in Table 1.)

What does an experiment tell us about the likely result if we repeat that experiment? For each experiment in Figure 1, note whether the CI includes the mean of the next experiment. In 20 of the 24 cases, the 95% CI includes the mean next above it in the figure. That is 83.3% of the experiments, which happens to be very close to the long-run average of 83.4% (Cumming & Maillardet, 2006; Cumming, Williams, & Fidler, 2004). So a 95% CI is an 83% prediction interval for the ES estimate of a replication experiment. In other words, a CI is usefully informative about what is likely to happen next time.

Now consider NHST. A replication has traditionally been regarded as “successful” if its statistical-significance status matches that of the original experiment—both $ps < .05$ or both $ps \geq .05$. In Figure 1, just 9 of the 24 (38%) replications match the significance status of the experiment immediately below, and are thus successful by this criterion. With power of .50, as here, in the long run we can expect 50% to be successful. Even with power of .80, only 68% of replications will be successful. This example illustrates that NHST gives only poor information about the likely result of a replication.

Considering exact p values gives an even more dramatic contrast with CIs. If an experiment gives a two-tailed p of .05, an 80% prediction interval for one-tailed p in a replication study is (.00008, .44), which means there is an 80% chance that p will fall in that interval, a 10% chance that p will be less than .00008, and a 10% chance that p will be greater than .44. Perhaps remarkably, that

prediction interval for p is independent of N , because the calculation of p takes account of sample size. Whatever the N , a p value gives only extremely vague information about replication (Cumming, 2008). Any calculated value of p could easily have been very different had we merely taken a different sample, and therefore we should not trust any p value. (See Guideline 9 in Table 1.)

Evidence that CIs are better than NHST

My colleagues and I (Coulson, Healey, Fidler, & Cumming, 2010) presented evidence that, at least in some common situations, researchers who see results presented as CIs are much more likely to make a correct interpretation if they think in terms of estimation than if they consider NHST. This finding suggests that it is best to interpret CIs as intervals, without invoking NHST, and, further, that it is better to report CIs and make no mention of NHST or p values. Fidler and Loftus (2009) reported further evidence that CIs are likely to prompt better interpretation than is a report based on NHST. Such evidence comes from the research field of *statistical cognition*, which investigates how researchers and other individuals understand, or misunderstand, various statistical concepts, and how results can best be analyzed and presented for correct comprehension by readers. If our statistical practices are to be evidence based, we must be guided by such empirical results. In this case, the evidence suggests that we should use estimation and avoid NHST.

Defenses of NHST

Schmidt and Hunter (1997) noted eight prominent attempted justifications of NHST. These justifications claimed, for example, that significance testing is needed

- to identify which results are real and which are due to chance,
- to determine whether or not an effect exists,
- to ensure that data analysis is objective, and
- to allow us to make clear decisions, as in practice we need to do.

Schmidt and Hunter made a detailed response to each statement. They concluded that each is false, that we should cease to use NHST, and that estimation provides a much better way to analyze results, draw conclusions, and make decisions—even when the researcher may primarily care only about whether some effect is nonzero.

Shifting from NHST: additional considerations

I am advocating shifting as much as possible from NHST to estimation. This is no mere fad or personal preference:

The damage done by NHST is substantial and well documented (e.g., Fidler, 2005, chap. 3); the improved research progress offered by estimation is also substantial, and a key step toward achieving the core aim expressed in Guideline 6. Identification of NHST as a main cause of problems with research integrity (Ioannidis, 2005) reinforces the need to shift, and the urgency of doing so.

I recognize how difficult it may be to move from the seductive but illusory certainty of “statistically significant,” but we need to abandon that security blanket, overcome that addiction. I suggest that, once freed from the requirement to report p values, we may appreciate how simple, natural, and informative it is to report that “support for Proposition X is 53%, with a 95% CI of [51, 55],” and then interpret those point and interval estimates in practical terms. The introductory statistics course need no longer turn promising students away from our discipline, having terminally discouraged them with the weird arbitrariness of NHST. Finally, APA’s *Publication Manual* (APA, 2010, p. 34) makes an unequivocal statement that interpretation of results should whenever possible be based on ES estimates and CIs. (That and other statistical recommendations of the 2010 edition of the manual were discussed by Cumming, Fidler, Kalinowski, & Lai, 2012.) It is time to move on from NHST. Whenever possible, avoid using statistical significance or p values; simply omit any mention of NHST. (See Guideline 10 in Table 1.)

Estimation: How

In this section, I start with an eight-step new-statistics strategy, discuss some preliminaries, and then consider ESs, CIs, the interpretation of both of these, and meta-analysis.

An eight-step new-statistics strategy for research with integrity

The following eight steps highlight aspects of the research process that are especially relevant for achieving the changes discussed in this article.

1. **Formulate research questions in estimation terms.** To use estimation thinking, ask “How large is the effect?” or “To what extent . . . ?” Avoid dichotomous expressions such as “test the hypothesis of no difference” or “Is this treatment better?”
2. **Identify the ESs that will best answer the research questions.** If, for example, the question asks about the difference between two means, then that difference is the required ES, as illustrated in Figure 1. If the question asks how well a model describes some data, then the ES is a measure of goodness of fit.

3. **Declare full details of the intended procedure and data analysis.** Prespecify as many aspects of your intended study as you can, including sample sizes. A fully prespecified study is best.
4. **After running the study, calculate point estimates and CIs for the chosen ESs.** For Experiment 1 in Figure 1, the estimated difference between the means is 16.9, 95% CI [6.1, 27.7]. (That is the APA format. From here on, I omit “95% CI,” so square brackets signal a 95% CI.)
5. **Make one or more figures, including CIs.** As in Figure 1, use error bars to depict 95% CIs.
6. **Interpret the ESs and CIs.** In writing up results, discuss the ES estimates, which are the main research outcome, and the CI lengths, which indicate precision. Consider theoretical and practical implications, in accord with the research aims.
7. **Use meta-analytic thinking throughout.** Think of any single study as building on past studies and leading to future studies. Present results to facilitate their inclusion in future meta-analyses. Use meta-analysis to integrate findings whenever appropriate.
8. **Report.** Make a full description of the research, preferably including the raw data, available to other researchers. This may be done via journal publication or posting to some enduring publicly available online repository (e.g., figshare, figshare.com; Open Science Framework, openscienceframework.org; Psych FileDrawer, psychfiledrawer.org). Be fully transparent about every step, including data analysis—and especially about any exploration or selection, which requires the corresponding results to be identified as speculative.

All these steps differ from past common practice. Step 1 may require a big change in thinking, but may be the key to adopting the new statistics, because asking “how much” naturally prompts a quantitative answer—an ES. Step 6 calls for informed judgment, rather than a mechanical statement of statistical significance. Steps 3 and 8 are necessary for research integrity.

The new statistics in context

The eight-step strategy is, of course, far from a complete recipe for good research. There is no mention, for example, of selecting a good design or finding measures with good reliability and validity. Consider, in addition, the excellent advice of the APA Task Force on Statistical Inference (Wilkinson & Task Force on Statistical Inference, 1999; also available at tiny.cc/tfsi1999), including the advice to keep things simple, when appropriate: “*Simpler classical approaches [to designs and analytic methods]*”

often can provide elegant and sufficient answers to important questions” (p. 598, italics in the original). The task force also advised researchers, “As soon as you have collected your data, . . . look at your data” (p. 597, italics in the original).

I see the first essential stage of statistical reform as being a shift from NHST. I focus on estimation as an achievable step forward, but other approaches also deserve wider use. Never again will any technique—CIs or anything else—be as widely used as p values have been. (See Guideline 11 in Table 1.)

I mention next four examples of further valuable approaches:

- *Data exploration*: John Tukey’s (1977) book *Exploratory Data Analysis* legitimated data exploration and also provides a wealth of practical guidance. There is great scope to bring Tukey’s approach into the era of powerful interactive software for data mining and representation.
- *Bayesian methods*: These are becoming commonly used in some disciplines, for example, ecology (McCarthy, 2007). Bayesian approaches to estimation based on credible intervals, to model assessment and selection, and to meta-analysis are highly valuable (Kruschke, 2010). I would be wary, however, of Bayesian hypothesis testing, if it does not escape the limitations of dichotomous thinking.
- *Robust methods*: The common assumption of normally distributed populations is often unrealistic, and conventional methods are not as robust to typical departures from normality as is often assumed. Robust methods largely sidestep such problems and deserve to be more widely used (Erceg-Hurn & Mirosevich, 2008; Wilcox, 2011).
- *Resampling and bootstrapping methods*: These are attractive in many situations. They often require few assumptions and can be used to estimate CIs (Kirby & Gerlanc, 2013).

Note that considering options for data analysis does not license choosing among them after running the experiment: Selecting the analysis strategy was one of the degrees of freedom described by Simmons et al. (2011); that strategy should be prespecified along with other details of the intended study.

ESs

An ES is simply an amount of anything of interest (Cumming & Fidler, 2009). Means, differences between means, frequencies, correlations, and many other familiar quantities are ESs. A p value, however, is *not* an ES. A sample ES, calculated from data, is typically our point

estimate of the population ES. ESs can be reported in original units (e.g., milliseconds or score units) or in some standardized or units-free measure (e.g., Cohen’s d , β , η_p^2 , or a proportion of variance). ESs in original units may often be more readily interpreted, but a standardized ES can assist comparison over studies and is usually necessary for meta-analysis. Reporting both kinds of ESs is often useful.

Cohen’s d . Cohen’s d deserves discussion because it is widely useful but has pitfalls. It is a standardized ES that is calculated by taking an original-units ES, usually the difference between two means, and expressing this as a number of standard deviations. The original-units ES is divided by a standardizer that we choose as a suitable unit of measurement:

$$d = (M_E - M_C) / s, \quad (1)$$

where M_E and M_C are experimental (E) and control (C) means, and s is the standardizer. Cohen’s d is thus a kind of z score. First we choose a population standard deviation that makes sense as the unit for d , and then we choose our best estimate of that standard deviation to use as s in the denominator of d . For two independent groups, if we assume homogeneity of variance, the pooled standard deviation within groups, s_p , is our standardizer, just as we use for the independent-groups t test. If we suspect the treatment notably affects variability, we might prefer the control population’s standard deviation, estimated by s_C (the control group’s standard deviation), as the standardizer. If we have several comparable control groups, pooling over these may give a more precise estimate to use as the standardizer. These choices obviously lead to different values for d , so whenever we see a value of d , we need to know how it was calculated before we can interpret it. When reporting values of d , make sure to describe how they were calculated.

Now consider a repeated measure design, in which each participant experiences both E and C treatments. We would probably regard the C population as the reference and choose its standard deviation, estimated by s_C , as the standardizer. However, the CI on the difference in this repeated measure design (and also the paired t test) is calculated using s_{diff} , the standard deviation of the paired differences, rather than s_C . As noted earlier, with two independent groups, s_p serves as the standardizer for d and also for the independent-groups t test. By contrast, the repeated measure design emphasizes that the standard deviation we choose as the standardizer may be quite different from the standard deviation we use for inference, whether based on a CI or a t test.

Equation 1 emphasizes that d is the ratio of two quantities, each estimated from data (Cumming & Finch, 2001). If we replicate the experiment, both numerator and denominator—the original-units ES and the standardizer—will be different. Cohen's d is thus measured on a "rubber ruler," whose unit, the standardizer, stretches in or out if we repeat the experiment. We therefore must be very careful when interpreting d , especially when we compare d values given by different conditions or experiments. Do the original-units ESs differ, does the standardizer differ, or do both differ? This difficulty has led some scholars, especially in medicine (Greenland, Schlesselman, & Criqui, 1986), to argue that standardized ES measures should never be used. In psychology, however, we have little option but to use a standardized ES when we wish to meta-analyze results from studies that used different original-units measures—different measures of anxiety, for example.

I have three final remarks about d . Because d is the ratio of two estimated quantities, its distribution is complex, and it is not straightforward to calculate CIs for d . ESCI can provide CIs on d in a number of basic situations, or you can use good approximations (Cumming & Fidler, 2009). (See Grissom & Kim, 2012, chap. 3, for more about CIs for d .) Second, symbols and terms referring to the standardized difference between means, calculated in various ways, are used inconsistently in the literature. "Hedges's g ," for example, is used with at least two different meanings. I recommend following common practice and using Cohen's d as the generic term, but be sure to explain how d was calculated. Third, the simple calculations of d I have discussed give values that are biased estimates of δ , the population ES; d is somewhat too large, especially when N is small. A simple adjustment (Grissom & Kim, 2012, p. 70, or use ESCI) is required to give d_{unb} , the unbiased version of Cohen's d ; we should usually prefer d_{unb} . (For more on Cohen's d , see Cumming, 2012, chap. 11.)

Interpretation of ESs. Interpretation of ESs requires informed judgment in context. We need to trust our expertise and report our assessment of the size, importance, and theoretical or practical value of an ES, taking full account of the research situation. Cohen (1988) suggested 0.2, 0.5, and 0.8 as small, medium, and large values of d , but emphasized that making a judgment in context should be preferred to these fallback benchmarks. Interpretation should include consideration, when appropriate, of the manipulation or treatment, the participants, and the research aims. When interpreting an ES, give reasons.

Published reference points can sometimes guide interpretation: For the Beck Depression Inventory-II (Beck, Steer, Ball, & Ranieri, 1996), for example, scores of 0

through 13, 14 through 19, 20 through 28, and 29 through 63 are labeled as indicating, respectively, minimal, mild, moderate, and severe levels of depression. In pain research, a change in rating of 10 mm on the 100-mm visual analog scale is often regarded as the smallest change of clinical importance—although no doubt different interpretations may be appropriate in different situations. A neuropsychology colleague tells me that, as a rough guideline, he uses a decrease of 15% in a client's memory score as the smallest change possibly of clinical interest. Comparison with ESs found in past research can be useful. I hope increasing attention to ES interpretation will prompt emergence of additional formal or informal conventions to help guide interpretation of various sizes of effect. However, no guideline will be universally applicable, and researchers must take responsibility for their ES interpretations. (See Guideline 12 in Table 1.)

Interpretation of CIs

CIs indicate the precision of our ES estimates, so interpretation of ESs must be accompanied by interpretation of CIs. I offer six approaches, one or more of which may be useful in any particular case. The discussion here refers to a 95% CI for a population mean, μ , but generally applies to any CI. (For an introduction to CIs and their use, see Cumming & Finch, 2005; also available at tiny.cc/inferencebyeye.)

One from an infinite sequence. The CI calculated from our data is one from the dance, as Figure 1 illustrates. In the long run, 95% of CIs will include μ , and an unidentified 5% will miss. Most likely our CI includes μ , but it might not—it might be red, as in Figure 1.

Thinking of our CI as coming from an infinite sequence is the correct interpretation, but in practice we need to interpret what we have—our single interval. That is reasonable, providing our CI is typical of the dance. It usually is, with two exceptions. First, in Figure 1, the CIs vary somewhat in length, because each is based on the sample's standard deviation. Each CI length is an estimate of the length of the heavy line at the bottom of the figure, which indicates an interval including 95% of the area under the curve, which would be the CI length if we knew the population standard deviation. With two groups of $n = 32$, CI length varies noticeably from experiment to experiment. A smaller n gives greater variation, and if n is less than, say, 10, the variation is so large that the length of a single CI may be a very poor estimate of precision. A CI is of little practical use when samples are very small.

A second exception occurs when our CI is not chosen randomly. If we run several experiments but report only the largest ES, or the shortest CI, that result is not typical

of the dance, and the CI is practically uninterpretable. Simmons et al. (2011) explained how such selection is problematic. Barring a tiny sample or data selection, it is generally reasonable to interpret our single CI, and the following five approaches all do that. We should, however, always remember the dance: Our CI just might be red! (See Guideline 13 in Table 1.)

Focus on our interval. Our CI defines a set of plausible, or likely, values for μ , and values outside the interval are relatively implausible. We can be 95% confident that our interval includes μ and can think of the lower and upper limits as likely lower and upper bounds for μ . Interpret the point estimate—the sample mean (M) at the center of the interval—and also the two limits of the CI. If an interval is sufficiently short and close to zero that you regard every value in the interval as negligible, you can conclude that the true value of μ is, for practical purposes, zero or very close to zero. That is the best way to think about what, in the NHST world, is acceptance of a null hypothesis.

Prediction. As I discussed earlier, our CI is an 83% prediction interval for the ES that would be given by a replication experiment (Cumming & Maillardet, 2006). Our CI defines a range within which the mean of a repeat experiment most likely will fall (on average, a 5-in-6 chance).

Precision. The margin of error (MOE—pronounced “mow-ee”) is the length of one arm of a CI and indicates precision. Our estimation error is the difference between the sample and population means ($M - \mu$). We can be 95% confident that this error is no greater than the MOE in absolute value. A large MOE indicates low precision and an uninformative experiment; a small MOE is gold. A major purpose of meta-analysis is to integrate evidence to increase precision. Later, I discuss another use of precision—to assist research planning.

The cat’s-eye picture of a CI. The curve in Figure 1 shows the sampling distribution of M , the difference between the two sample means: As the dance also illustrates, most values of M fall close to μ , and progressively fewer fall at greater distances. The curve is also the distribution of estimation errors: Errors are most likely close to zero, and larger errors are progressively less likely, which implies that our interval has most likely fallen so that M is close to μ . Therefore, values close to our M are the best bet for μ , and values closer to the limits of our CI are successively less good bets.

The curve, if centered around M rather than μ , indicates the relative plausibility, or likelihood, of values being the true value of μ . The center graphics of Figure 2

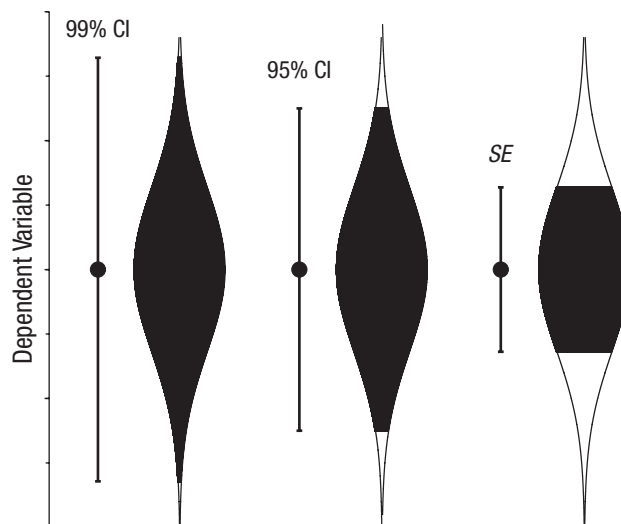


Fig. 2. Conventional error bars and cat’s-eye pictures for a 99% confidence interval (CI; left), a 95% CI (center), and SE bars (right). The cat’s-eye pictures are bounded by the curve shown in Figure 1 and its mirror image, which are centered on the sample mean in order to indicate relative likelihood. The black areas match the extent of the error bars and indicate 99%, 95%, and about 68% of the area between the curves, respectively. The horizontal width of each cat’s-eye picture represents the relative likelihood for μ across the full range of values of the dependent variable. Thus, these black areas picture the likelihood profiles, or “shapes,” of the intervals.

show the conventional error bars for a 95% CI and the *cat’s-eye picture* of that CI, bounded by the likelihood curve centered around M and its mirror image. The black area of the cat’s-eye picture spans the CI and comprises 95% of the area between the curves. The horizontal width of the cat’s-eye picture indicates the relative likelihood that any value of the dependent variable is μ , the parameter we are estimating. A value close to the center of the CI is about 7 times as likely to be μ as is a value near a limit of the 95% CI. Thus, the black area is the likelihood profile, or beautiful “shape” of the 95% CI (Cumming, 2007; Cumming & Fidler, 2009). This fifth approach to interpreting a CI is a nuanced extension to the second approach: Our CI defines an interval of plausible values for μ , but plausibility varies smoothly across and beyond the interval, as the cat’s-eye picture indicates.

Link with NHST. If our CI falls so that a null value μ_0 lies outside the interval, the two-tailed p is less than .05, and the null hypothesis can be rejected. If μ_0 is inside the interval, then p is greater than .05. Figure 1 illustrates that the closer the sample mean is to μ_0 , the larger is p (Cumming, 2007). This is my least preferred way to interpret a CI: I earlier cited evidence that CIs can prompt better interpretation if NHST is avoided. Also, the smooth variation of the cat’s-eye picture near any CI limit suggests that

we should not lapse back into dichotomous thinking by attaching any particular importance to whether a value of interest lies just inside or just outside our CI.

Error bars: prefer 95% CIs

It is extremely unfortunate that the familiar error-bar graphic can mean so many different things. Figure 2 uses it to depict a 99% CI and *SE* bars (i.e., mean ± 1 *SE*), as well as a 95% CI; the same graphic may also represent standard deviations, CIs with other levels of confidence, or various other quantities. Every figure with error bars must state clearly what the bars represent. (An introductory discussion of error bars was provided by Cumming, Fidler, & Vaux, 2007.)

Numerous articles in *Psychological Science* have included figures with *SE* bars, although these have rarely been used to guide interpretation. The best way to think of *SE* bars on a mean is usually to double the whole length—so the bars extend 2 *SE* above and 2 *SE* below the mean—and interpret them as being, approximately, the 95% CI, as Figure 2 illustrates (Cumming & Finch, 2005). The right-hand cat's-eye picture in Figure 2 illustrates that relative likelihood changes little across *SE* bars, which span only about two thirds of the area between the two curves. *SE* bars are usually approximately equivalent to 68% CIs and are 52% prediction intervals (Cumming & Maillardet, 2006): There is about a coin-toss chance that a repeat of the experiment will give a sample ES within the original *SE* bars.

It may be discouraging to display 95% CIs rather than the much shorter *SE* bars, but there are strong reasons for preferring CIs. First, they are designed for inference. Second, for means, although there is usually a simple relation between *SE* bars and the 95% CI, that relation breaks down if *N* is small; for other measures, including correlations, there is no simple relation between the CI and any standard error. Therefore, *SE* bars cannot be relied on to provide inferential information, which is what we want. Since the 1980s, medical researchers have routinely reported CIs, not *SE* bars. We should do the same. (See Guideline 14 in Table 1.)

Figure 2 shows that 99% CIs simply extend further than 95% CIs, to span 99% rather than 95% of the area between the two likelihood curves. They are about one third longer than 95% CIs. Further approximate benchmarks are that 90% CIs are about five sixths as long as 95% CIs, and 50% CIs are about one third as long. Noting benchmarks like these (Cumming, 2007) allows you to convert easily among CIs with various levels of confidence. In matters of life and death, it might seem better to use 99% CIs, or even 99.9% CIs (about two thirds longer than 95% CIs), but I suggest that it is virtually always best to use 95% CIs. We should build our intuitions

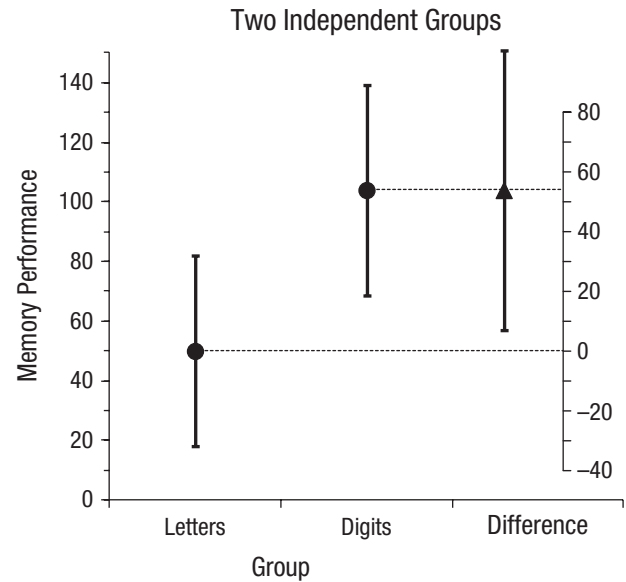


Fig. 3. Means and 95% confidence intervals (CIs) for a fictitious experiment with two independent groups ($n = 40$ for each group). The difference between the group means, with its 95% CI, is shown on a floating difference axis at the right.

(bearing in mind the cat's-eye picture) for 95% CIs—the most common CIs—and use benchmarks if necessary to interpret other CIs.

Examples of ES and CI interpretation

As I have discussed, interpretation of ESs and CIs relies on knowledgeable judgment in context, and should be nuanced and meaningful. This approach will lack the seductive but illusory certainty of a *p*-value cutoff. If that seems a step too far, recall the dance of the *p* values and reflect on how unreliable *p* is, and how inappropriate it is as an arbiter of research quality or publishability. We happily rely on informed judgment for evaluation of research questions, research designs, and numerous aspects of how research is conducted and analyzed; we need to extend that reliance to assessment of results and interpretations. With full reporting, informed debate about interpretation is possible and appropriate, just as it is about any other aspect of research. I offer five brief examples of reporting and discussing ESs and CIs.

Two independent groups. Figure 3 shows one way that ESCI can display results from an experiment with two independent groups. If our research question asks about the difference between means, Steps 2 and 4 of the eight-step strategy tell us to focus on that difference and its CI. Figure 1 does this by displaying, for each experiment, the difference between the means and its CI. In Figure 3, the difference and its CI are shown on a floating

difference axis, but the group means and CIs are also displayed. The common practice of displaying group means gives a general picture, but interpretation of any difference should be informed by the appropriate CI—the CI on that difference. In Figure 3 the difference is 54.0 [7.2, 100.8], which suggests that our experiment has low precision and is perhaps of little value—although it might still make a useful contribution to a meta-analysis. That is a much better approach than declaring the result “statistically significant, $p = .024$.”

For independent groups, the CI on the difference is usually, as in Figure 3, about 1.4 times the average of the lengths of the CIs on the two means. The two separate CIs can be used to assess the difference. Finch and I (Cumming & Finch, 2005; see also Cumming, 2009) described rules of eye for doing this. If the two groups’ CIs just touch or do not overlap, there is reasonable evidence of a population difference, and, approximately, p is less than .01. If the two groups’ CIs overlap by only a moderate amount (no more than half the average MOE, as approximately in Fig. 3), there is some evidence of a difference, and, approximately, p is less than .05. I have stated here the approximate p values, but there is no need to invoke p values: Simply interpret the two intervals, but note well that the means must be independent for these rules of eye to be valid.

By contrast, in a paired or repeated measure design, the CI on the difference is typically shorter than the CIs on the separate measures, because the two measures

(e.g., pretest and posttest) are usually positively correlated. The shortness of that CI reflects the sensitivity of the design: The higher the correlation, the more sensitive the design and the shorter the CI. With a repeated measure, overlap of the separate CIs is totally irrelevant, no rule of eye is possible, and we must have the CI on the difference if we are to interpret the difference. (See Guideline 15 in Table 1.)

Randomized control trials and other complex designs.

Randomized control trials (RCTs) provide, arguably, the highest-quality evidence to support evidence-based practice, for example, in clinical psychology. The focus needs to be on ESs, precision, and clinical importance of effects, although this has often not been the case in published reports of RCTs (Faulkner, Fidler, & Cumming, 2008). Figure 4 is a simple ESCI display of means and 95% CIs for an RCT in which independent treatment and control groups each provided anxiety scores at four time points. Any mean and CI could be interpreted with reference to the clinical threshold for anxiety marked in the figure. (Marking such reference points in figures may be a useful strategy to assist ES interpretation.)

A simple way to analyze these results is to focus on a small number of comparisons, which should be specified in advance. Any between-groups comparison, for example, treatment versus control group at posttest, can be assessed by noting the ES, which in this case is about

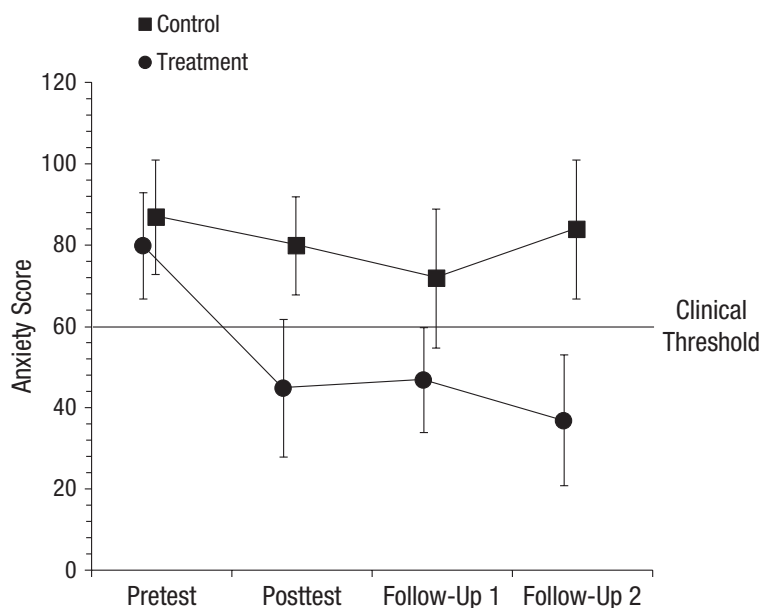


Fig. 4. Means and 95% confidence intervals for a fictitious randomized control trial in which treatment and control groups each provided anxiety scores at four time points. The clinical threshold for anxiety is also indicated.

–35, and considering the two CIs. You could use the 1.4 rule from the previous section to estimate that the CI on the ES (the difference) has a MOE of about 20, and then make a clinical judgment about this anxiety reduction of –35 [–55, –15].

The situation is different, however, for a within-group comparison. For example, if we want to compare Follow-Up 1 and Follow-Up 2 for the treatment group, we can note the ES, which is about 10, but cannot make any judgment about the precision of that estimated change, because the comparison involves a repeated measure. The two separate CIs are irrelevant. To assess any repeated measure comparison, we need to have the CI on the change in question reported explicitly, either numerically in the text or in a figure that displays within-group changes. An attractive alternative is to use the techniques of Masson and Loftus (2003) and Blouin and Riopelle (2005) to calculate CIs for repeated measure effects and display these in a figure showing means. We need further development of graphical conventions for displaying CIs on contrasts in complex designs. (See Fidler, Faulkner, & Cumming, 2008, for further discussion of an estimation-based approach to reporting and interpreting RCTs.)

When inspecting any figure with CIs, be careful to note the type—*independent* or *repeated measure*—of any comparison of interest. CIs on individual means are relevant only for assessing independent comparisons. It is therefore essential that any figure clearly indicate for each independent variable whether that variable has independent-groups or repeated measure status. In Figure 4, the lines joining the means within a group hint at a repeated measure variable, and including such lines is a convention worth supporting, but the convention is not used with sufficient consistency to be a dependable guide.

More generally, choosing in advance the comparisons or contrasts most relevant for answering research questions, rather than, for example, starting with an overall analysis of variance, can be an effective and easily-understood strategy. Rosenthal, Rosnow, and Rubin (2000) described this approach, and Steiger (2004) explained how to calculate and use CIs for contrasts in a range of multiway designs. (See Guideline 16 in Table 1.)

Correlations. CIs on Pearson r correlations are typically asymmetric, because values are bounded by –1 and 1. Figure 5, from ESCI, displays independent correlations of .2 and .5, each with $n = 50$, with their 95% CIs. The figure illustrates that the CI is shorter and more asymmetric as r approaches 1 (or –1). The CIs may seem disappointingly long: For example, the CI for r_1 of .2 is [–.08, .45], despite the n of 50. The figure also shows the CI on the difference between the two correlations, which also

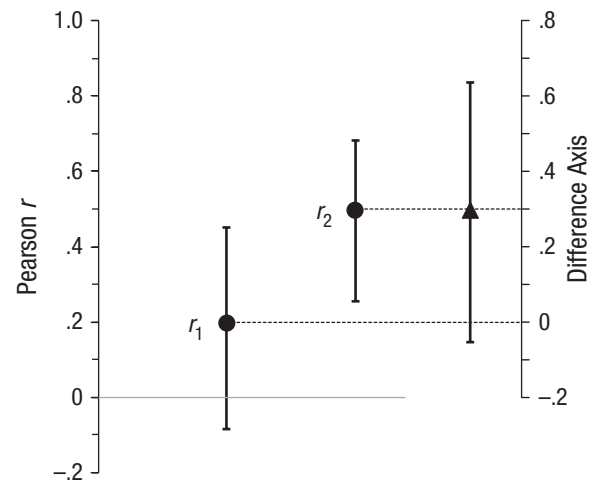


Fig. 5. Values and 95% confidence intervals for two independent Pearson correlations, r_1 and r_2 , and the difference between them. For each correlation, $n = 50$.

may seem surprisingly long: .3 [–.05, .64]. CIs on differences between correlations may be unfamiliar but are what we need if we wish to compare independent correlations—although we can make an approximate assessment of the difference by considering the two independent CIs and the extent of any overlap (Cumming, 2009).

NHST for correlations can be especially problematic, because the null hypothesis of zero correlation may be irrelevant. For example, if an r of .7 is an estimate of reliability or validity, it may be described as highly statistically significant (i.e., significantly different from 0), even though a correlation of 0 is a completely inappropriate reference. A value of .7, or even .8 or higher, may be judged in context to be terrible. As usual, seeing the estimate reported as .7 [.58, .79], is much more informative. (That CI assumes $N = 100$.) Cohen (1988) suggested fallback benchmarks of .1, .3, and .5 for small, medium, and large correlations, respectively, but this example illustrates that for r , any such benchmarks are likely to be inappropriate in many contexts: As usual, we need informed judgment.

Proportions. Proportions are also bounded, lying between 0 and 1, and CIs on proportions are thus generally asymmetric. Consider Table 2, a two-by-two table of fictitious frequencies. Traditionally, χ^2 would be used to test the null hypothesis of independence of the two variables: $\chi^2(1, N = 40) = 4.29, p = .04$. Instead of that dichotomous hypothesis test, it would be better to ask an estimation question about the difference between the proportions of students with and without distinction who complete college. The proportions are 17/20 and 11/20, respectively. These proportions are independent, so ESCI can calculate the 95% CI on the difference, which is .3 [.02, .53] (Finch & Cumming, 2009). The 95% CI just

Table 2. Numbers of Students With and Without Distinction in High School Who Did or Did Not Complete College Within 5 Years

Complete college within 5 years?	Distinction on completion of high school?		Total
	Yes	No	
Yes	17	11	28
No	3	9	12
Total	20	20	40

misses zero, which is consistent with the p value of .04 in the χ^2 analysis. The estimation approach is, as usual, more informative, because it gives a point estimate (.3) in answer to our question and a CI to indicate the precision. With such small frequencies, it is not surprising that our CI is so long. (See Guideline 17 in Table 1.)

Assessment of model fit. Consider a somewhat different example of CI use. Velicer et al. (2008) used a number of previous data sets and expert judgment to generate quantitative predictions for 15 variables from the trans-theoretical model of behavior change. They then took an entirely independent data set and estimated the 15 variables. Because the test data set was large (N of approximately 4,000), the CIs were short. Velicer et al. found that the CIs included the predicted values for 11 of the 15 variables, a result they interpreted as strong support for most aspects of the model. Examination of 2 variables for which the predictions were rather inaccurate suggested

lines for further theoretical and empirical investigation. Such assessment of quantitative models accords well with Guideline 6 and the argument of Rodgers (2010) that psychology should become more quantitative.

Meta-analysis

My discussions of ESs and CIs focus on the “quantitative” in our core aim (Guideline 6); I now turn to the “cumulative,” and meta-analysis, which is essentially estimation extended to more than one study. The basics of meta-analysis are best revealed by a beautiful picture, the *forest plot*, which is readily understood even by beginning students (Cumming, 2006). Figure 6 shows a forest plot that summarizes the findings of six past studies that estimated the response time (RT) for some task, as well as the findings of a new study, labeled “Mine (2011).” To the left of the plot, the mean, standard deviation, and N for each study are reported; these means and their 95% CIs appear in the forest plot. (In practice, we might log-transform the values, or use some other strategy that recognizes positive skew, but here I use simple RT means.) The square symbols for the means vary in size to signal the relative weighting of each study in the meta-analysis; small standard deviation and large N give higher precision, a shorter CI, and greater weight. The diamonds report the results (weighted means and 95% CIs) of meta-analyses of the six previous studies and of those six studies plus our current study. Our study has not shifted the overall estimate much! The shortness of the diamonds relative to at least most of the contributing CIs illustrates how meta-analysis usually increases the precision of estimates.

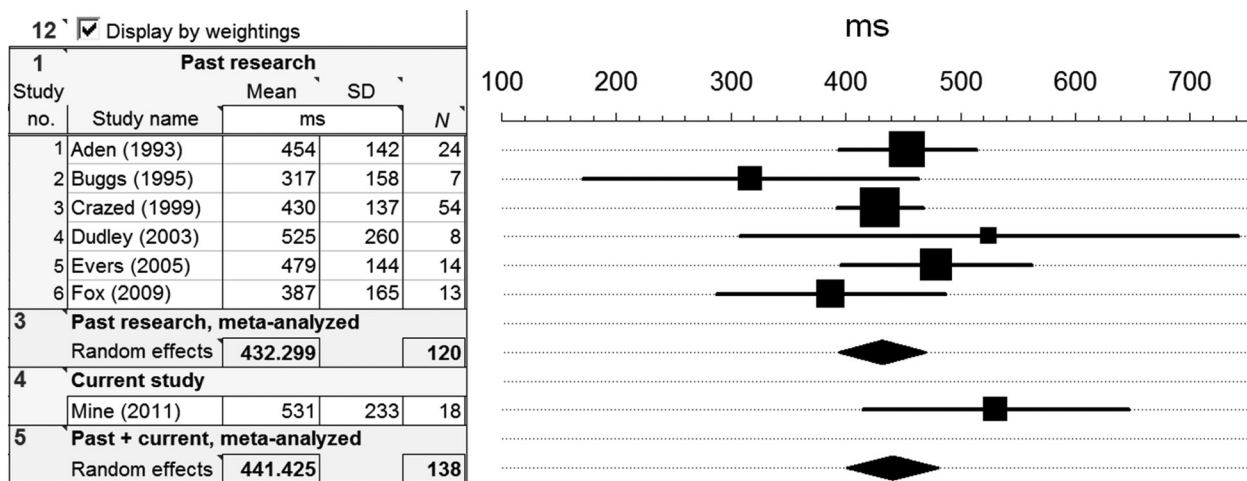


Fig. 6. A forest plot from Exploratory Software for Confidence Intervals (ESCI). In this part screen image, means and 95% confidence intervals (CIs) are shown for six previous studies and for the “current” study; each study’s mean, standard deviation, and N are shown at the left. The size of the square symbols for the means signals the relative weightings of the studies in the meta-analysis. The diamonds report the results (means and 95% CIs) of random-effects meta-analyses of the six previous studies (upper diamond) and of all seven studies (lower diamond).

Figure 6 illustrates a meta-analysis in which every study used the same original measure, RT in milliseconds. In psychological science, however, different studies usually use different original measures, and for meta-analysis we need a standardized, or units-free, ES measure, most commonly Cohen's d or Pearson's r . The original-units ESs need to be transformed into d s or r s for meta-analysis.

Many published meta-analyses report substantial projects, integrating results from dozens or even hundreds of studies. Cooper provided detailed guidance for the seven steps involved in a large meta-analysis (Cooper, 2010), as well as advice on reporting a meta-analysis in accord with the Meta-Analysis Reporting Standards (MARS) specified in APA's (2010, pp. 251–252) *Publication Manual* (Cooper, 2011). Borenstein, Hedges, Higgins, and Rothstein (2009) discussed many aspects of meta-analysis, especially data analysis, and the widely used CMA software (available from Biostat, www.Meta-Analysis.com).

It is vital to appreciate that meta-analysis is no mere mechanical procedure, and that conducting a large meta-analysis requires domain expertise and informed judgment at every step: defining questions, finding and selecting relevant literature, choosing variables and measures, extracting and then analyzing data, assessing possible moderating variables, and more. Even so, conducting at least a small-scale meta-analysis should be practically achievable without extended specialized training.

Large meta-analyses may be most visible, but meta-analysis can often be very useful on a smaller scale as well. A minimum of two results may suffice for a meta-analysis. Consider meta-analysis to combine results from several of your studies, or from your current study plus even only one or two previous studies. You need not even notice whether any individual study would give statistical significance—we care only about the CIs, and especially the result of the meta-analysis, which may be a pleasingly short CI. (See Guideline 18 in Table 1.)

Heterogeneity. Imagine that all the studies in Figure 6 had the same N and were so similar that we can assume they all estimate the same population mean, μ . In that case, the study-to-study variation in means should be similar to that in the dance in Figure 1. In other words, the bouncing around in the forest plot should match what we expect simply because of sampling variability. If there is notably more variability than this, we can say the set of studies is *heterogeneous*, and there may be one or more *moderating variables* that affect the ES. Meta-analysis offers us more precise estimates, but also the highly valuable possibility of identifying such moderators.

Suppose that some of the studies in Figure 6 happened to use only female participants (F studies), and others only males (M studies). We can meta-analyze the

sets of F and M studies separately and assess the two results, using, of course, the two CIs. If we find the overall F mean to be, for example, notably smaller than the M mean, gender is a likely moderator of RT. We cannot be sure, because our moderator analysis is correlational rather than experimental—there was no random allocation of studies to gender. Some variable or variables other than gender may be the cause of the observed difference. But moderator analysis can identify a variable as possibly important even when no single study has manipulated that variable! That is a highly valuable feature of meta-analysis. Moderator analysis can extend to continuous variables if we use *meta-regression*: The ES from each study is regressed against the value of some variable (e.g., participants' mean age) that varies over studies. An important part of meta-analysis is choosing in advance a small number of potential moderating variables for investigation, and coding the value of those variables for each study, for use in the moderator analysis.

Models for meta-analysis. If we assume that every study estimates the same μ , we are using the *fixed-effect* model. More realistic, and what we should routinely prefer (Schmidt, Oh, & Hayes, 2009), is the *random-effects* model, which assumes that the population means estimated by the different studies are randomly chosen from a superpopulation with standard deviation of τ . Therefore, τ is an index of heterogeneity. In the meta-analysis of all seven studies in Figure 6, the estimate of τ is 33.7 ms [0, 68.4]—a long CI because we have only a small number of studies. The data are compatible with τ being as small as zero (no heterogeneity; i.e., the fixed-effect model applies) or as large as 68 ms (considerable heterogeneity). With more studies, a substantial estimated τ , and a shorter CI, we may have clear evidence of heterogeneity, which would encourage us to seek one or more moderators. The random-effects model may be our choice, but its assumptions are also possibly unrealistic; better models would be welcome. The varying-coefficient model of Bonett (2009) is attractive, although it has not yet achieved widespread recognition. (See Guideline 19 in Table 1.)

Meta-analysis and NHST. Meta-analysis need make no use of NHST. Indeed, NHST has caused some of its worst damage by distorting the results of meta-analysis, which can give valid results only if an unbiased set of studies is included, which usually means we should try to find and include all relevant studies. As I mentioned earlier when discussing research integrity, selective publication biases meta-analysis. Imagine meta-analyzing only the replications in Figure 1 for which p is less than .05: The combined ES would be much too large. Two strategies have been developed in response to this problem. First, great effort is needed to find all relevant studies,

whether or not published. We need to seek conference papers, theses, and technical reports, and make direct inquiries to all relevant researchers we can reach. Second, we can use a number of ways to estimate the extent to which a set of studies we are meta-analyzing might be biased because relevant studies are missing (Borenstein et al., 2009, chap. 30). Both these approaches offer only partial solutions to publication bias, which can be fully solved only when all relevant research is made available publicly—when we have achieved research integrity.

The argument (Simmons et al., 2011) that any published result may be distorted to an unknown extent by selection and other inappropriate data-analytic practices is worrying. Suddenly, everything seems to be, to some unknown extent, in doubt—and this will remain the case until we have full research integrity. Of course, we cannot expect meta-analysis to produce valid results from invalid input. Meta-analysts have little option but to include all studies that meet their selection criteria; however, they need to be alert to the possibility that any study, or any set of studies from a single laboratory, may be biased. If, for example, a set of studies is distinctly too homogeneous—it shows distinctly less bouncing around than we would expect from sampling variability, as illustrated in Figure 1—we can suspect selection or distortion of some kind. Further discussion of this serious problem for meta-analysis is needed.

Even so, meta-analysis can give the best estimates justified by research to date, as well as the best guidance for practitioners. By also identifying important variables, it can bring order to a messy literature, give theoretical insight, and suggest directions for future research. These are all important aspects of a cumulative discipline. Beyond meta-analysis of ESs and CIs is the even more enticing prospect of meta-analysis of the full data from previous studies (Cooper & Patall, 2009), which should become possible more often as researchers post their raw data on the Internet.

Meta-analytic thinking. Any one study is most likely contributing rather than determining; it needs to be considered alongside any comparable past studies and with the assumption that future studies will build on its contribution. That is meta-analytic thinking (Cumming & Finch, 2001), an important aspect of the new statistics, and Step 7 in our strategy. It also implies appreciation of replication, and of our result as one from an infinite dance, as Figure 1 suggests. Meta-analytic thinking emphasizes that we must report our results in sufficient detail, with appropriate ESs and CIs, to facilitate inclusion in future meta-analyses. (See Guideline 20 in Table 1.)

Research planning

One of the most challenging and creative parts of empirical research is devising ingenious studies likely to

provide precise answers to our research questions. I refer to such studies as *informative*. The challenge of research design and planning is to increase informativeness, and it is worth much time and effort to do this: We should refine tasks, seek measures likely to have better reliability and validity, consider participant selection and training, use repeated measures when appropriate, consider statistical control, limit design complexity so informativeness is increased for the remaining questions, use large sample sizes, and consider measuring more than once and then averaging; in general, we should reduce error variability in any way we can, and call on the full range of advice in handbooks of design and analysis. High informativeness is gold. (See Guideline 21 in Table 1.)

Statistical power. *Statistical power* is the probability that if the population ES is equal to δ , a target we specify, our planned experiment will achieve statistical significance at a stated value of α . I am ambivalent about statistical power for two reasons. First, it is defined in terms of NHST, so it has meaning or relevance only if we are using NHST, and has no place when we are using the new statistics. However, anyone who uses NHST needs to consider power. (See Guideline 22 in Table 1.)

Second, the term *power* is often used ambiguously, perhaps referring to the narrow technical concept of statistical power, but often referring more broadly to the size, sensitivity, quality, or informativeness of an experiment. For clarity, I suggest using *informativeness*, as I did earlier, for this second, broader concept.

For a given experimental design, statistical power is a function of sample size, α , and the target δ . Increasing sample size increases informativeness as well as power, but we can also increase power merely by choosing α of .10 rather than .05, or by increasing the target δ —neither of which increases informativeness. Therefore, high power does not necessarily imply an informative or high-quality experiment.

Funding bodies and ethical review boards often require justification for proposed experiments, especially proposed sample sizes. It is particularly important to justify sample sizes when human participants may be subjected to inconvenience or risk. Power calculations have traditionally been expected, but these can be fudged: For example, power is especially sensitive to δ , so a small change to the target δ may lead to a substantial change in power. For a two-independent-groups design with n of 32 in each group, choosing δ of 0.50 gives power of .50, as in Figure 1, but δ of 0.60 or 0.70 gives power of .67 or .79, respectively. Power of .80 is, following Cohen (1988), often regarded as acceptable, even though 20% of such experiments would fail to achieve statistical significance if the population ES were equal to the stated target δ . For several simple designs, ESCI provides power curves and calculations. Beyond that, I recommend the excellent free software G*Power 3 (available at tiny.cc/gpower3).

One problem is that we never know true power, the probability that our experiment will yield a statistically significant result, because we do not know the true δ —that is why we are doing the experiment! All we can say is that our experiment has power of x to detect a stated target δ . Any statement that an experiment has power of x , without specifying the target δ , is meaningless.

Should we calculate power after running the experiment, using our observed estimate of δ as the target? That is *post hoc power*. The trouble is that post hoc power tells us about the result, but little if anything about the experiment itself. In Figure 1, for example, Experiments 23, 24, and 25 give post hoc power of .17, .98, and .41, respectively. Post hoc power can often take almost any value, so it is likely to be misleading, as Hoenig and Heisey (2001) argued. If computer output provides a value for power, without asking you to specify a target ES, it is probably post hoc power and should be ignored. (See Guideline 23 in Table 1.)

Precision for planning. In an NHST world, statistical power can support planning. In an estimation world, we need instead *precision for planning*—sometimes called

accuracy in parameter estimation (AIPE). We specify how large a MOE we are prepared to accept and then calculate what N is needed to achieve a CI with a MOE no longer than that.

Familiarity with ESs and CIs should make it natural to think of an experiment aiming for precision of f units of σ , the population standard deviation (and thus the units of Cohen's δ). Figure 7, from ESCI, displays precision-for-planning curves. The vertical line marks an f of 0.4 and tells us that a two-independent-groups experiment would on average give a MOE no larger than $0.4 \times \sigma$ if the groups each had an n of 50. A complication, however, is that the MOE varies from experiment to experiment, as Figure 1 illustrates. The lower curve in the figure gives n for an experiment that on average yields a satisfactory MOE. To do better, we may set the level of assurance, γ , to 99, as in the upper curve in Figure 7, which tells us that two groups with n of 65 will give a MOE no longer than our target of $0.4 \times \sigma$ on 99% of occasions. I hope funding bodies and ethics review boards will increasingly look for precision-for-planning analyses that justify sample sizes for proposed research.

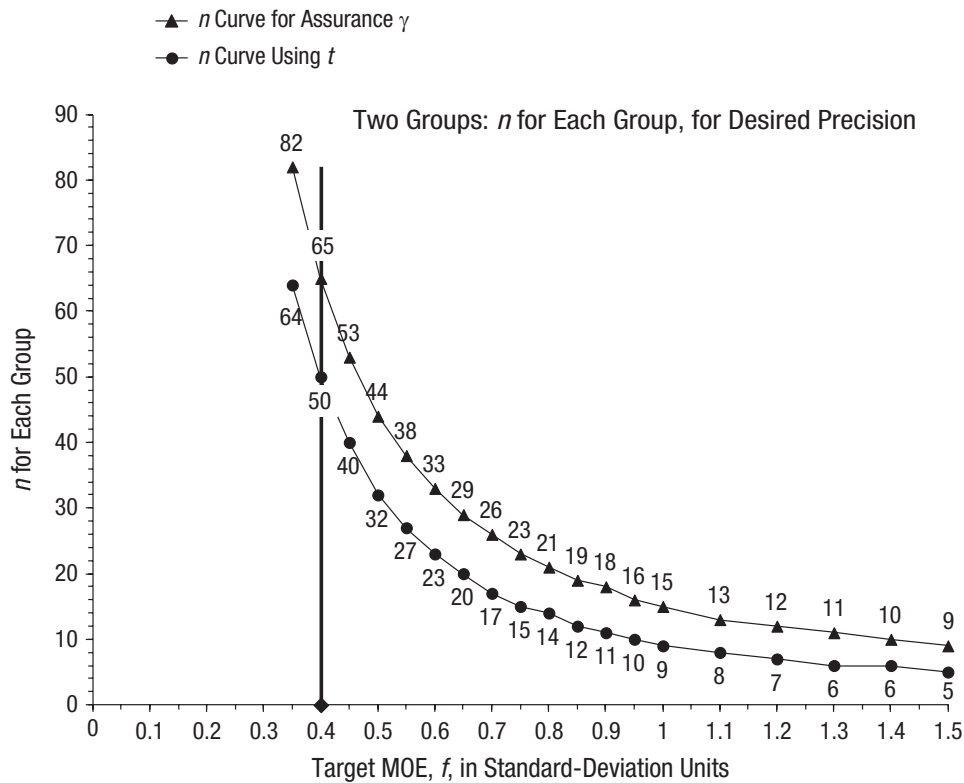


Fig. 7. Precision-for-planning curves from Exploratory Software for Confidence Intervals (ESCI). The curves indicate the sample size, n , required for each group in a two-independent-groups design as a function of the target margin of error (MOE), f , in population standard deviation units, σ . The lower curve indicates n , calculated using t , for an average MOE not greater than $f \times \sigma$, and the upper curve indicates n for a MOE not greater than $f \times \sigma$ with assurance (γ) of 99. The vertical line highlights the n s needed for f of 0.4.

Figure 7 shows that small changes to f can indicate the need for large changes to n . The corresponding figure for a repeated measure experiment indicates that much smaller N s will suffice if we have a reasonably large correlation between the measures. Such figures should give us practical guidance in choosing experimental designs and sample sizes likely to make an experiment sufficiently informative to be worth running. After we conduct an experiment, the MOE calculated from the data tells us, of course, what precision we achieved. Previously (Cumming, 2012, chap. 13), I have discussed precision for planning, and ESCI provides calculations for several simple situations. Precision-for-planning techniques are being developed for an increasing range of ES measures and designs (Maxwell, Kelley, & Rausch, 2008). (See Guideline 24 in Table 1.)

New-statistics resources

A wide range of new-statistics resources has become available in recent years. As mentioned earlier, extensions of most of the discussions in this estimation section, and information about ESCI, are available elsewhere (Cumming, 2012, 2013). Fidler and I (Fidler & Cumming, 2013) also discussed many of the topics of this article. Kline (2013b) discussed many new-statistics issues and provided guidance for deriving and presenting ESs and CIs in a wide range of situations, including meta-analysis. Kline's book goes further than mine in several ways, including consideration of measurement error as well as sampling variability, more complex designs, and bootstrapping and Bayesian techniques. Ellis (2010) provided an accessible introduction to a range of ES measures. Fritz, Morris, and Richler (2012) discussed a range of ES measures, especially in the context of multiway designs, with an emphasis on practical use and interpretation. Grissom and Kim (2012) discussed a very wide range of ES measures and described in most cases how to calculate the CIs on those measures. They considered ESs and CIs for an extensive range of designs, including multivariate ones. Smithson (2003) explained how to calculate CIs on R^2 and a range of other ES measures, and has provided very useful scripts (Smithson, n.d.) to assist in these calculations. Altman, Machin, Bryant, and Gardner (2000) provided simple guidance for using CIs with many basic ES measures used in medicine, including risk ratios and odds ratios.

Statistics textbooks are increasingly including coverage of ESs and CIs, as well as at least brief mention of meta-analysis, even if they have not yet relegated NHST to a secondary topic, required only to assist understanding of past published research. An early mover was Tabachnick and Fidell's (2007) book, which includes guidance for calculating CIs in many multivariate situations. Baguley's

(2012) advanced textbook includes extensive guidance on estimation techniques.

A wide range of software that is helpful for understanding and calculating ESs and CIs is freely available on the Internet. In many cases, a Web site is linked to a book or journal article, but provides software or links that are of use independently of the book or article. I have already mentioned ESCI (Cumming, 2013). Ellis's (2010) book is accompanied by a Web site (Ellis, n.d.) that provides extensive discussion of ESs and a link to a calculator for Cohen's d . Kline's (2013b) book is accompanied by a Web site (Kline, 2013a) that provides a very useful set of links to software and calculators for a variety of ESs and CIs, arranged to correspond with successive chapters in the book. Becker (1999) has provided a simple calculator for d and r in a few common situations, and Soper (2006–2013) has provided a wide range of statistical calculators, including calculators for many ES measures and CIs. Another extensive collection of ES calculators, with CIs provided also in many cases, is available from Wilson (n.d.), although this Web site does not yet provide full details of the formulas used. More specialized software, in the R language, for calculating ESs and CIs on ESs includes MBESS (Kelley, 2007; Kelley, n.d.) and bootES (Kirby & Gerlanc, 2013; Gerlanc & Kirby, 2013). As always, when using statistical software, especially online calculators, it is important to be sure that the formulas are appropriate for your situation. Calculation of d needs particular care, as I discussed earlier in the section on Cohen's d .

Research integrity from an estimation perspective

To conclude this discussion of estimation, I want to revisit research integrity. Many contributors to discussions of research integrity, including Ioannidis (2005), have identified use of statistical significance as a crucial part of the problem. Even so, most contributions have been framed in terms of NHST. For example, discussion of replication is usually in terms of whether a replication experiment is "successful," with statistical significance used as the criterion for success. It would be valuable to put such dichotomous thinking aside and to revisit all contributions to the discussion from an estimation perspective. If we made no reference to NHST, and routinely used estimation and meta-analysis, how would the arguments change? Replication attempts would not be labeled dichotomously as successes or failures, but would usually yield, via meta-analysis, more precise estimates. Not using NHST would remove the pressure to find ways to tweak p to get past the sharp criterion for statistical significance (Masicampo & Lalande, 2012). CIs would indicate the extent of uncertainty, weaken the delusion that a single result is definitive, and make it more natural to

seek further evidence or conduct another study. Meta-analytic thinking also prompts replication.

In these ways, shifting from NHST to the new statistics should ease the problems, but serious challenges remain. After this shift, it will be just as important that variables and analyses not be selected to give desired results, and that research be fully prespecified and then reported in full detail. (See Guideline 25 in Table 1.)

Toward a Cumulative Quantitative Discipline

The statistical-reform context

As I mentioned, for more than half a century, scholars have been publishing cogent critiques of NHST, documenting the damage it does, and urging change. There have been very few replies, but also little reduction in reliance on NHST. Two reform attempts are worth noting. In the 1970s and 1980s, Ken Rothman published many articles in medical journals advocating use of CIs and avoidance of NHST. His efforts and those of other researchers led the International Committee of Medical Journal Editors (1988) to issue guidelines specifying that CIs should be reported whenever possible. In 1990, Rothman became the founding editor of *Epidemiology*, declaring that the journal would not publish p values. For the 10 years of his editorship, the journal flourished while publishing almost no NHST (Fidler, Thomason, Cumming, Finch, & Leeman, 2004), demonstrating again that good science does not require p values. More broadly across medicine, for more than two decades, most empirical articles have reported CIs. However, NHST is almost always reported as well, the CIs are only sometimes interpreted, and conclusions are usually based on p values. Reliance on NHST continues, to the extent that Ioannidis (2005) identified it as a major underlying cause of the problems he discussed.

In psychology, Geoff Loftus in 1993 took editorship of *Memory & Cognition* primarily to try to improve its statistical practices. He strongly encouraged use of figures with error bars and avoidance of p values. Over the 4 years of his editorship, use of figures with error bars increased, but NHST remained close to universal; after he left the journal, fewer figures with error bars appeared (Finch et al., 2004). More generally, the fascinating history of the spread of NHST in a number of disciplines, the numerous devastating critiques, and the generally disappointing efforts at reform have been described in scholarly detail by Fidler (2005).

Reform efforts by the Association for Psychological Science

Why should the current reform efforts of *Psychological Science* and the Association for Psychological Science

(APS) be more successful? There are at least four reasons. First, heightened recognition of research-integrity issues demands change, and the central causal role of NHST demands that it be scrutinized anew. Second, the push for change comes not only from one insightful and enterprising editor, but also from other APS leaders. Third, over the past decade, additional helpful resources, as cited earlier, have become available to support the practical use of estimation, including meta-analysis. Fourth, other important players are also supporting change: For example, as mentioned earlier, APA's (2010) *Publication Manual* included unequivocal statements that interpretation should be based on estimation. The Psychonomic Society's (2012) statistical guidelines highlight problems with NHST and recommend use of better techniques, notably estimation. In addition, the Task Force on Publication and Research Practices of the Society for Personality and Social Psychology (SPSP Task Force on Publication and Research Practices, in press) made similar recommendations and considered the implications for research training.

Simply do not use NHST

I want to be clear about what I am *not* advocating. I am not suggesting that we simply report CIs alongside NHST. That would most likely lead to the situation currently found in medicine—CIs are reported but not routinely interpreted, and conclusions are largely based on p values. Nor am I suggesting that we report CIs, but not NHST, and then base interpretation primarily on whether or not CIs include zero. That would merely be NHST by stealth. These two approaches would amount to NHST business as usual, perpetuation of all the old problems, and no extra impetus toward research integrity and a cumulative quantitative discipline.

Instead, I recommend following as much as possible all the steps in the eight-step strategy. I include "when-ever possible" in my recommendations that we avoid NHST, to cover any cases in which it is not possible to calculate a relevant CI; I expect such cases to be rare, and to become rarer. I strongly suggest that the best plan is simply to go cold turkey, omit any mention of NHST, and focus on finding words to give a meaningful interpretation of the ES estimates and CIs that give the best answers to your research questions. To be clear, I conclude from the arguments and evidence I have reviewed that best research practice is not to use NHST at all; we should strive to adopt best practice, and therefore should simply avoid NHST and use better techniques.

Yes, your studies are likely to be more complex than the simple examples I have discussed, but I have described five approaches to interpreting CIs—not counting the interpretation based on NHST—hoping that one or more will be helpful in any particular situation. If it is

relevant, you can note that a CI is far, or very far, from zero, and therefore zero is a correspondingly implausible true value for the parameter being estimated; you can do this without invoking p values and while still focusing on the positive information the CI gives about likely values of the parameter.

Enjoy the benefits

I suggest that we note and appreciate whenever using a new-statistics approach gives insight: Perhaps a small meta-analysis gives a pleasingly precise estimate that is helpful to a clinical colleague, or a fully prespecified study provides results we can rely on while considering a tricky issue, or formulating our research questions in estimation terms prompts us to develop a small quantitative model. Savor such moments: They signal progress and should become the norm.

The key idea is meta-analytic thinking: Appreciate any study as part of a future meta-analysis. With good understanding of meta-analysis, we know how essential it is that our research literature be complete and trustworthy, and that all studies be reported in full and accurate detail. Of course, replication is required and contributes to better estimates and research progress. NHST is irrelevant, so we can stop worrying about it and just not mention it—a sure way to avoid the damage it does. There is no need for polemics about whether we are formally banning NHST. It can simply fall by the wayside, and after a while we will scarcely notice it has gone, because we are focused on the exciting work of building a cumulative quantitative discipline with integrity.

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G. Cumming is the sole author of this article and is responsible for its content.

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